

Section 11



PATENT  
Customer No. 22,852  
Attorney Docket No. 5259-0018-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
Peter SCHULTZ, et al. ) Group Art Unit: 2121  
Serial No.: 09/846,006 ) Examiner: Not Assigned  
Filed: April 30, 2001 )  
For: METHOD AND APPARATUS FOR )  
CONCENTRICALLY FORMING AN )  
OPTICAL PREFORM USING )  
LASER ENERGY )

Assistant Commissioner for Patents  
Washington, DC 20231

Attention: **BOX MISSING PARTS**

Sir:

**RESPONSE TO NOTICE TO FILE  
MISSING PARTS OF APPLICATION**

In response to the communication of June 21, 2001, Applicants submit a Declaration/Power of Attorney for filing in this application, the required fee of \$130.00, and a copy of the Notice of Missing Parts. Also enclosed for filing with this application are a Four-Month Petition for Extension with the required fee of \$1440.00, an Assignment and Recordation Cover Sheet with the required fee of \$40.00, eleven (11) sheets of formal drawings, and a check in the amount of \$1610.00.

Please associate the enclosed documents with the application, grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account 06-0916.

LAW OFFICES  
FINNEGAN, HENDERSON,  
FARABOW, GARRETT,  
& DUNNER, L.L.P.  
1300 I STREET, N. W.  
WASHINGTON, DC 20005  
202-408-4000

Respectfully submitted,

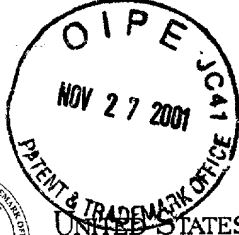
FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: November 27, 2001

By: F. Leslie Bessener III  
F. Leslie Bessener, III  
Reg. No. 39,108

LAW OFFICES

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/846,006	04/30/2001	Peter Schultz	5259.0018-04

CONFIRMATION NO. 3372

## FORMALITIES LETTER



\*OC000000006213131\*

Finnegan, Henderson, Farabow  
Garrett & Dunner, L.L.P.  
1300 I Street, N.W.  
Washington, DC 20005-3315

Date Mailed: 06/21/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

11/28/2001 HVUONG1 00000013 09846006

01 FC:105 130.00 DP

*A copy of this notice MUST be returned with the reply.*

*ff*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

20110101 14:00:00



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PREFORM USING LASER )  
ENERGY )

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

**SUBMISSION OF FORMAL DRAWINGS**

Subject to the approval of the Examiner, please replace the informal drawings with the 11 sheets of formal drawings filed herewith (Figures 1 thru 7). If the formal drawings for any reason are not in full compliance with the pertinent statutes and regulations, please so advise the undersigned.

If any fees are necessary for the submission of these formal drawings, please charge our Deposit Account No. 06-0916.

LAW OFFICES

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Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

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